

General information about company

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|--|-----------------------|
| Scrip code | 513436 |
| Name of the company | SHAH ALLOYS LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2016 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | Yes |
| 7 | Whether company has equity shares with differential voting rights? | No |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 7 | 10729246 | | | 10729246 | 54.19 | 10729246 | | 10729246 | 54.19 |
| (B) | Public | 8263 | 9068294 | | | 9068294 | 45.81 | 9068294 | | 9068294 | 45.81 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 8270 | 19797540 | | | 19797540 | 100 | 19797540 | | 19797540 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 54.19 | | | 10610370 | 98.89 | 10729246 |
| (B) | Public | | | | 45.81 | | | | | 8585862 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | 10610370 | 53.59 | 19315108 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--|--|----------------------------|--|--|---|---|---|---|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 7 | 10729246 | | | 10729246 | 54.19 | 10729246 | | 10729246 | 54.19 |
| Sub-Total (A)(1) | | 7 | 10729246 | | | 10729246 | 54.19 | 10729246 | | 10729246 | 54.19 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 7 | 10729246 | | | 10729246 | 54.19 | 10729246 | | 10729246 | 54.19 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (g) | Insurance Companies | 2 | 1746624 | | | 1746624 | 8.82 | 1746624 | | 1746624 | 8.82 |
| Sub-Total (B)(1) | | 2 | 1746624 | | | 1746624 | 8.82 | 1746624 | | 1746624 | 8.82 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(ii)) | Individuals - i.Individual | 7990 | 3885550 | | | 3885550 | 19.63 | 3885550 | | 3885550 | 19.63 |

| | | | | | | | | | | |
|--|---|------|----------|--|--|----------|-------|----------|----------|-------|
| | shareholders holding nominal share capital up to Rs. 2 lakhs. | | | | | | | | | |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 17 | 554839 | | | 554839 | 2.8 | 554839 | 554839 | 2.8 |
| (e) | Any Other (specify) | 254 | 2881281 | | | 2881281 | 14.55 | 2881281 | 2881281 | 14.55 |
| Sub-Total (B)(3) | | 8261 | 7321670 | | | 7321670 | 36.98 | 7321670 | 7321670 | 36.98 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 8263 | 9068294 | | | 9068294 | 45.81 | 9068294 | 9068294 | 45.81 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | |
| Total (A+B+C2) | | 8270 | 19797540 | | | 19797540 | 100 | 19797540 | 19797540 | 100 |
| Total (A+B+C) | | 8270 | 19797540 | | | 19797540 | 100 | 19797540 | 19797540 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--|--|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 54.19 | | | 10610370 | 98.89 | 10729246 |
| Sub-Total (A) (1) | | | | 54.19 | | | 10610370 | 98.89 | 10729246 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 54.19 | | | 10610370 | 98.89 | 10729246 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (g) | | | | 8.82 | | | | | 1746624 |
| Sub-Total (B) (1) | | | | 8.82 | | | | | 1746624 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 19.63 | | | | | 3405918 |

| | | | | | | | | | |
|--|---|--|--|-------|--|--|----------|-------|----------|
| (a(ii)) | | | | 2.8 | | | | | 554839 |
| (e) | | | | 14.55 | | | | | 2878481 |
| Sub-Total (B) (3) | | | | 36.98 | | | | | 6839238 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | | | 45.81 | | | | | 8585862 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 19315108 |
| Total (A+B+C) | | | | 100 | | | 10610370 | 53.59 | 19315108 |

Individuals/Hindu undivided Family

| Searial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---------------------------|--------------------------|-----------------------------|---------------------|-----------------|---------------|---------------------|
| Name of the Shareholders (I) | Rajendrabhai V Shah (HUF) | Ragini Rajendrabhai Shah | Shah Jayeshkumar Vijaykumar | Rajendrabhai V Shah | Prakash V. Shah | Ashvin V Shah | Karan Rajendra Shah |
| PAN (II) | AACHS4862E | ACEPS6153N | ACJPS9147H | ACTPS7674P | ACUPS1892G | AFAPS2729H | BIRPS1150D |
| No. of fully paid up equity shares held (IV) | 1531960 | 1146006 | 29936 | 7912404 | 47000 | 21940 | 40000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1531960 | 1146006 | 29936 | 7912404 | 47000 | 21940 | 40000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 7.74 | 5.79 | 0.15 | 39.97 | 0.24 | 0.11 | 0.2 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 1531960 | 1146006 | 29936 | 7912404 | 47000 | 21940 | 40000 |
| Class eg:y | | | | | | | |
| Total | 1531960 | 1146006 | 29936 | 7912404 | 47000 | 21940 | 40000 |
| Total as a % of Total Voting rights | 7.74 | 5.79 | 0.15 | 39.97 | 0.24 | 0.11 | 0.2 |
| No. Of Shares Underlying | | | | | | | |

| | | | | | | | |
|--|---------|---------|-------|---------|-------|-------|-------|
| Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 7.74 | 5.79 | 0.15 | 39.97 | 0.24 | 0.11 | 0.2 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | 1531960 | 1146006 | 0 | 7912404 | 0 | 20000 | 0 |
| As a % of total Shares held (b) | 100 | 100 | 0 | 100 | 0 | 91.16 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 1531960 | 1146006 | 29936 | 7912404 | 47000 | 21940 | 40000 |
| Reason for not providing PAN | | | | | | | |
| Reason for not | | | | | | | |

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|---------------|--|--|--|--|--|--|--|
| providing PAN | | | | | | | |
|---------------|--|--|--|--|--|--|--|

Individuals/Hindu undivided Family

| | |
|---|---------------------------------------|
| Searial No. | |
| Name of the Shareholders (I) | Click here to go back |
| PAN (II) | Total |
| No. of fully paid up equity shares held (IV) | 10729246 |
| No. Of Partly paid-up equity shares held (V) | |
| No. Of shares underlying Depository Receipts (VI) | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 10729246 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 54.19 |
| Number of Voting Rights held in each class of securities (IX) | |
| Class eg:X | 10729246 |
| Class eg:y | |
| Total | 10729246 |
| Total as a % of Total Voting rights | 54.19 |
| No. Of Shares Underlying Outstanding | |

| | |
|--|----------|
| convertible securities (X) | |
| No. of Shares Underlying Outstanding Warrants (Xi) | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 54.19 |
| Number of Locked in shares (XII) | |
| No. (a) | |
| As a % of total Shares held (b) | |
| Number of Shares pledged or otherwise encumbered (XIII) | |
| No. (a) | 10610370 |
| As a % of total Shares held (b) | 98.89 |
| Number of equity shares held in dematerialized form (XIV) | 10729246 |
| Reason for not providing PAN | |
| Reason for not providing PAN | |

Insurance Companies

| Searial No. | 1 | 2 | |
|---|--|--|---------------------------------------|
| Name of the Shareholders (I) | GENERAL INSURANCE CORPORATION OF INDIA | UNITED INDIA INSURANCE COMPANY LIMITED | Click here to go back |
| PAN (II) | AAACG0615N | AAACU5552C | Total |
| No. of fully paid up equity shares held (IV) | 868047 | 878577 | 1746624 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 868047 | 878577 | 1746624 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.38 | 4.44 | 8.82 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 868047 | 878577 | 1746624 |
| Class eg:y | | | |
| Total | 868047 | 878577 | 1746624 |
| Total as a % of Total Voting rights | 4.38 | 4.44 | 8.82 |
| No. Of Shares Underlying Outstanding | | | |

| | | | |
|--|--------|--------|---------|
| convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.38 | 4.44 | 8.82 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 868047 | 878577 | 1746624 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

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|--|---------|--------|--------|--------|-------|-------|-----|
| Class eg: X | 2802214 | 969769 | 901361 | 394292 | 26947 | 38716 | 200 |
| Class eg:y | | | | | | | |
| Total | 2802214 | 969769 | 901361 | 394292 | 26947 | 38716 | 200 |
| Total as a % of Total Voting rights | 14.15 | 4.9 | 4.55 | 1.99 | 0.14 | 0.2 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 14.15 | 4.9 | 4.55 | 1.99 | 0.14 | 0.2 | 0 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 2801414 | 969769 | 901361 | 394292 | 26947 | 36716 | 200 |

| | | | | | | | |
|------------------------------|--|--|--|--|--|--|--|
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

Any Other (specify)

| | | |
|---|------------------|---------------------------------------|
| Serial No. | 8 | |
| Category | NRI – Non- Repat | |
| Category / More than 1 percentage | Category | |
| Name of the Shareholders (I) | | Click here to go back |
| PAN (II) | | Total |
| No. of the Shareholders (I) | 13 | 254 |
| No. of fully paid up equity shares held (IV) | 13204 | 2881281 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 13204 | 2881281 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.07 | 14.56 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 13204 | 2881281 |
| Class eg:y | | |
| | | |

| | | |
|--|-------|---------|
| Total | 13204 | 2881281 |
| Total as a % of Total Voting rights | 0.07 | 14.56 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.07 | 14.56 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 13204 | 2878481 |
| Reason for not providing PAN | | |
| Reason for not | | |

| | | |
|---------------|--|--|
| providing PAN | | |
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