

**General information about company**

Scrip code	513436
NSE Symbol	SHAHALLOYS
MSEI Symbol	NOTLISTED
ISIN	INE640C01011
Name of the company	SHAH ALLOYS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	5	10640991			10640991	53.75	10640991		10640991	53.48
(B)	Public	8022	9156549			9156549	46.25	9257313		9257313	46.52
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	8027	19797540			19797540	100	19898304		19898304	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.75			10590370	99.52	10640991
(B)	Public				46.25					8787217
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	<b>Total</b>				100			10590370	53.49	19428208

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	10640991			10640991	53.75	10640991		10640991	53.48
Sub-Total (A)(1)		5	10640991			10640991	53.75	10640991		10640991	53.48
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		5	10640991			10640991	53.75	10640991		10640991	53.48
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	1	4265			4265	0.02	4265		4265	0.02
Sub-Total (B)(1)		1	4265			4265	0.02	4265		4265	0.02
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7824	3711476			3711476	18.75	3711476		3711476	18.65
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	42	2753159			2753159	13.91	2753159		2753159	13.84
(e)	Any Other (specify)	155	2687649			2687649	13.58	2788413		2788413	14.01
Sub-Total (B)(3)		8021	9152284			9152284	46.23	9253048		9253048	46.5
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8022	9156549			9156549	46.25	9257313		9257313	46.52
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		8027	19797540			19797540	100	19898304		19898304	100
Total (A+B+C)		8027	19797540			19797540	100	19898304		19898304	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				53.75			10590370	99.52	10640991
Sub-Total (A) (1)				53.75			10590370	99.52	10640991
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				53.75			10590370	99.52	10640991
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.02					4265
Sub-Total (B) (1)				0.02					4265
( 3 )	Non-institutions								
(a(i))				18.75					3344944
(a(ii))				13.91					2753159
(e)				13.58					2684849
Sub-Total (B) (3)				46.23					8782952
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				46.25					8787217
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					19428208
Total (A+B+C)				100			10590370	53.49	19428208

**Individuals/Hindu undivided Family**

Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	RAJENDRABHAI V SHAH - HUF	RAGINI RAJENDRABHAI SHAH	SHAH JAYESHKUMAR VIJAYKUMAR	RAJENDRABHAI V SHAH	ASHVIN V SHAH	Karan R. Shah	Prakash V. Shah
PAN (II)	AACHS4862E	ACEPS6153N	ACJPS9147H	ACTPS7674P	AFAPS2729H	BIRPS1150D	ACUPS1892G
No. of fully paid up equity shares held (IV)	1531960	1146006	28681	7912404	21940	0	0
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1531960	1146006	28681	7912404	21940	0	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.74	5.79	0.14	39.97	0.11	0	0
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	1531960	1146006	28681	7912404	21940	0	0
Class eg:y							
Total	1531960	1146006	28681	7912404	21940	0	0
Total as a % of Total Voting rights	7.7	5.76	0.14	39.76	0.11	0	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	7.74	5.79	0.14	39.97	0.11	0	0

Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	1531960	1146006	0	7912404	0	0	0
As a % of total Shares held (b)	100	100	0	100	0	0	0
Number of equity shares held in dematerialized form (XIV)	1531960	1146006	28681	7912404	21940	0	0
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter	Promoter	Promoter Group	Promoter	Promoter Group	Promoter Group	Promoter Group



**Individuals/Hindu undivided Family**

Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	10640991
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	10640991
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	53.75
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	10640991
Class eg:y	
Total	10640991
Total as a % of Total Voting rights	53.48
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	53.75
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	10590370

As a % of total Shares held (b)	99.52
Number of equity shares held in dematerialized form (XIV)	10640991
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>				
Serial No.	1	2	3	
Name of the Shareholders (I)	ANAND MOHAN .	JAIDEEP SAMPAT	SHEELA LALIT MEHTA .	<a href="#">Click here to go back</a>
PAN (II)	AGBPA8783D	ADYPS0778C	AGNPM0833E	Total
No. of fully paid up equity shares held (IV)	321600	432036	200000	953636
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	321600	432036	200000	953636
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.62	2.18	1.01	4.82
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	321600	432036	200000	953636
Class eg:y				
Total	321600	432036	200000	953636
Total as a % of Total Voting rights	1.62	2.17	1.01	4.79
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.62	2.18	1.01	4.82
<b>Number of Locked in shares (XII)</b>				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	321600	432036	200000	953636

Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Clearing Members	Clearing Members	Non-Resident Indian (NRI)
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	More than 1 percentage of shareholding	Category
Name of the Shareholders (I)		ASSET RECONSTRUCTION COMPANY (INDIA) LTD.( TRUST HOLDINGS)	GUJARAT NRE COKE LTD.	SATELLITE MERCANTILES PVT LTD.		JM FINANCIAL SERVICES LIMITED	
PAN (II)		AAECA3878M	AABCG6225H	AAECS2025A		AAACJ5977A	
No. of the Shareholders (I)	66	1	1	1	26	1	62
No. of fully paid up equity shares held (IV)	2347460	200000	969769	761675	280293	256995	59696
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2347460	200000	969769	761675	280293	256995	59696
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.86	1.01	4.9	3.85	1.42	1.3	0.3
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2347460	200000	969769	761675	280293	256995	59696
Class eg:y							
Total	2347460	200000	969769	761675	280293	256995	59696
Total as a % of Total Voting rights	11.8	1.01	4.87	3.83	1.41	1.29	0.3
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	11.86	1.01	4.9	3.85	1.42	1.3	0.3

capital) (XI)= (VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2346660	200000	969769	761675	280293	256995	57696
Reason for not providing PAN							
Reason for not providing PAN							

<b>Any Other (specify)</b>		
Serial No.	8	
Category	Trusts	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		<a href="#">Click here to go back</a>
PAN (II)		Total
No. of the Shareholders (I)	1	155
No. of fully paid up equity shares held (IV)	200	2687649
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	200	2687649
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	13.58
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	200	2687649
Class eg:y		
Total	200	2687649
Total as a % of Total Voting rights	0	13.51
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	13.58
<b>Number of Locked in shares (XII)</b>		
No. (a)		

As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	200	2684849
Reason for not providing PAN		
Reason for not providing PAN		



Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence